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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Byung-Jin Choi et al.
App. No.: 10/788,685 GPAU: 1756
Filing Date: 02/27/2004 Examiner: Christopher G. Young
Dkt. No.: PA130-06D09 Conf. No.: 7013
For: METHOD OF ORIENTATING A TEMPLATE WITH RESPECT TO A
SUBSTRATE IN RESPONSE TO A FORCE EXERTED ON THE TEMPLATE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure and pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98. The undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449
☐ Other:

to the Examiner's attention in the above-identified application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

FEES DUE

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is believed required.

- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☒ during the period specified in § 1.97(c). No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.
- ☒ Applicant(s) does not believe that any additional fees are due, but if the Commissioner believes additional fees are due, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 502650.

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Signed: Katrina Prati

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Date: 10-29-04

Respectfully Submitted,



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Complete if Known

Application Number	10/788,685
Filing Date	02/27/2004
First Named Inventor	Choi et al.
Group Art Unit	1756
Examiner Name	Young, Christopher G.
Attorney Docket Number	PA130-06D09

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	4,070,116		Frosch et al.	01-24-1978	
	A2	4,600,309		Fay	07-15-1986	
	A3	4,848,911		Uchida et al.	07-18-1989	
	A4	5,074,667		Miyatake	12-24-1991	
	A5	5,148,036		Matsugu et al.	09-15-1992	
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	A11	5,670,415		Rust	09-23-1997	
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	A16	6,150,680		Eastman et al.	11-21-2000	
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	A33	5,439,766		Day et al.	08-08-1995	
	A34	5,431,777		Austin et al.	07-11-1995	
Examiner Signature					Date Considered	

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Attorney Docket Number	PA130-06D09

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		Number	Kind Code ² (if known)			
	A35	5,422,295		Choi et al.	06-06-1995	
	A36	5,421,981		Leader et al.	06-06-1995	
	A37	5,417,802		Obeng	05-23-1995	
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	A67	4,976,818		Hashimoto et al.	12-11-1990	
	A68	4,980,316		Huebner	12-25-1990	
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Sheet 3 of 8

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Application Number	10/788,685
Filing Date	02/27/2004
First Named Inventor	Choi et al.
Group Art Unit	1756
Examiner Name	Young, Christopher G.
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		Number	Kind Code ² (if known)			
	A69	4,999,280		Hiraoka	03-12-1991	
	A70	5,071,694		Uekita et al.	12-10-1991	
	A71	5,169,494		Hashimoto et al.	12-08-1992	
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10/788.685

Filing Date

02/27/2004

First Named Inventor

Choi et al.

Group Art Unit

1756

Examiner Name

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FOREIGN PATENT DOCUMENTS

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				Application Number	10/788,685
				Filing Date	02/27/2004
				First Named Inventor	Choi et al.
				Group Art Unit	1756
				Examiner Name	Young, Christopher G.
Sheet	6	of	8	Attorney Docket Number	PA130-06D09

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A122	Abstract of Japanese Patent 63-138730	
	A123	Abstract of Japanese Patent 55-88332	
	A124	Abstract of Japanese Patent 57-7931	
	A125	Abstract of Japanese Patent 02-92603.	
	A126	Translation of Japanese Patent 02-92603.	
	A127	Abstract of Japanese Patent 02-24848.	
	A128	Translation of Japanese Patent 02-24848.	
	A129	HEIDARI et al., "Nanoimprint Lithography at the 6 in. Wafer Scale," Journal of Vacuum Science Technology, Nov/Dec 2000, pp. 3557-3560, vol. B, no. 18(6)/	
	A130	NERAC.COM Retro Search, "Reduction of Dimension of Contact Holes", August 31, 2004	
	A131	NERAC.COM Retro Search, "Trim Etching of Features Formed on an Organic Layer", September 2, 2004	
	A132	NERAC.COM Retro Search, "Multi-Layer Resists", September 2, 2004	

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	A133	HU et al., "Flourescence Probe Techniques (FPT) for Measuring the Relative Efficiencies of Free-Radical Photoinitiators", s0024-9297(97)01390-9; "Macromolecules" 1998, vol. 31, no.13, pp. 4107-4113, 1998 American Chemical Society. Published on Web 05/29/1998	
	A134	FEYNMAN, Richard P., "There's Plenty of Room at the Bottom—An Invitation to Enter a New Field of Physics," 12 pp [online][Retreived 9/23/04 from URI: http://www.zyvex.com/nanotech/feynman.html]	
	A135	CIBA SPECIALTY CHEMICALS BUSINESS LINE COATINGS, "What is UV Curing?", 45 pp [online][Retreived 9/24/04 from URL: http://www.cibasc.com/image.asp?id=4040]	
	A136	Communication Relating to the Results of the Partial International Search; International Appl. No. PCT/US2002/015551.	
	A137	Papirer et al., "The Grafting of Perfluorinated Silanes onto the Surface of Silica: Characterization by Inverse Gas Chromatography," August 1993, pp238-242, vol. 159, Issue 1.	
	A138	Abstract of Papirer et al., "The Grafting of Perfluorinated Silanes onto the Surface of Silica: Characterization by Inverse Gas Chromatography," August 1993, pp238-242, vol. 159, Issue 1.	
	A139	Hirai et al., "Mold Surface Treatment for Imprint Lithography," August 2001, pp 457-462, vol 14, No. 3.	
	A140	Abstract of Hirai et al., "Mold Surface Treatment for Imprint Lithography," August 2001, pp 457-462, vol 14, No. 3.	
	A141	Sung et al., "Micro/nano-tribological Characteristics of Self-Assembled Monolayer and its Application in Nano-Structure Fabrication," July 2003, pp. 808-818, vol. 255, no. 7.	
	A142	Abstract of Sung et al., "Micro/nano-tribological Characteristics of Self-Assembled Monolayer and its Application in Nano-Structure Fabrication," July 2003, pp. 808-818, vol. 255, no. 7.	
	A143	Roos et al., "Nanoimprint Lithography with a Commerical 4 Inch Bond System for Hot Embossing," October 2001, pp. 427-435, vol. 4343.	

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Substitute for form 1449B/PTO <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 10px 0;"><i>(use as many sheets as necessary)</i></p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center; padding: 5px;">Complete if Known</th> </tr> <tr> <td style="width: 40%; padding: 5px;">Application Number</td> <td style="padding: 5px;">10/788,685</td> </tr> <tr> <td style="padding: 5px;">Filing Date</td> <td style="padding: 5px;">02/27/2004</td> </tr> <tr> <td style="padding: 5px;">First Named Inventor</td> <td style="padding: 5px;">Choi et al.</td> </tr> <tr> <td style="padding: 5px;">Group Art Unit</td> <td style="padding: 5px;">1756</td> </tr> <tr> <td style="padding: 5px;">Examiner Name</td> <td style="padding: 5px;">Young, Christopher G.</td> </tr> <tr> <td style="padding: 5px;">Attorney Docket Number</td> <td style="padding: 5px;">PA130-06D09</td> </tr> </table>		Complete if Known		Application Number	10/788,685	Filing Date	02/27/2004	First Named Inventor	Choi et al.	Group Art Unit	1756	Examiner Name	Young, Christopher G.	Attorney Docket Number	PA130-06D09
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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